



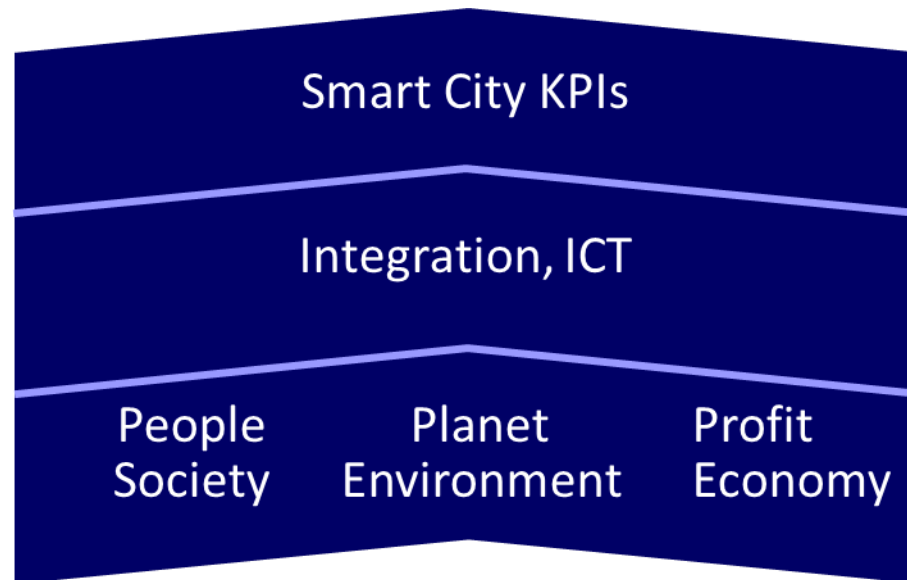
# CITYkeys

## Performance measurement of smart cities

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The goal of CITYkeys is to provide a validated, holistic performance measurement framework for monitoring and comparing the implementation of Smart City solutions.



Existing frameworks:

EIP Smart Cities,  
FP7 Smart Cities research

CityProtocol, CIVITAS,  
CONCERTO, RFSC

AIT, TNO, VTT

Rotterdam,  
Tampere, Vienna,  
Zagreb, Zaragoza

EUROCITIES

Requirements of cities & citizens

Smart City KPIs

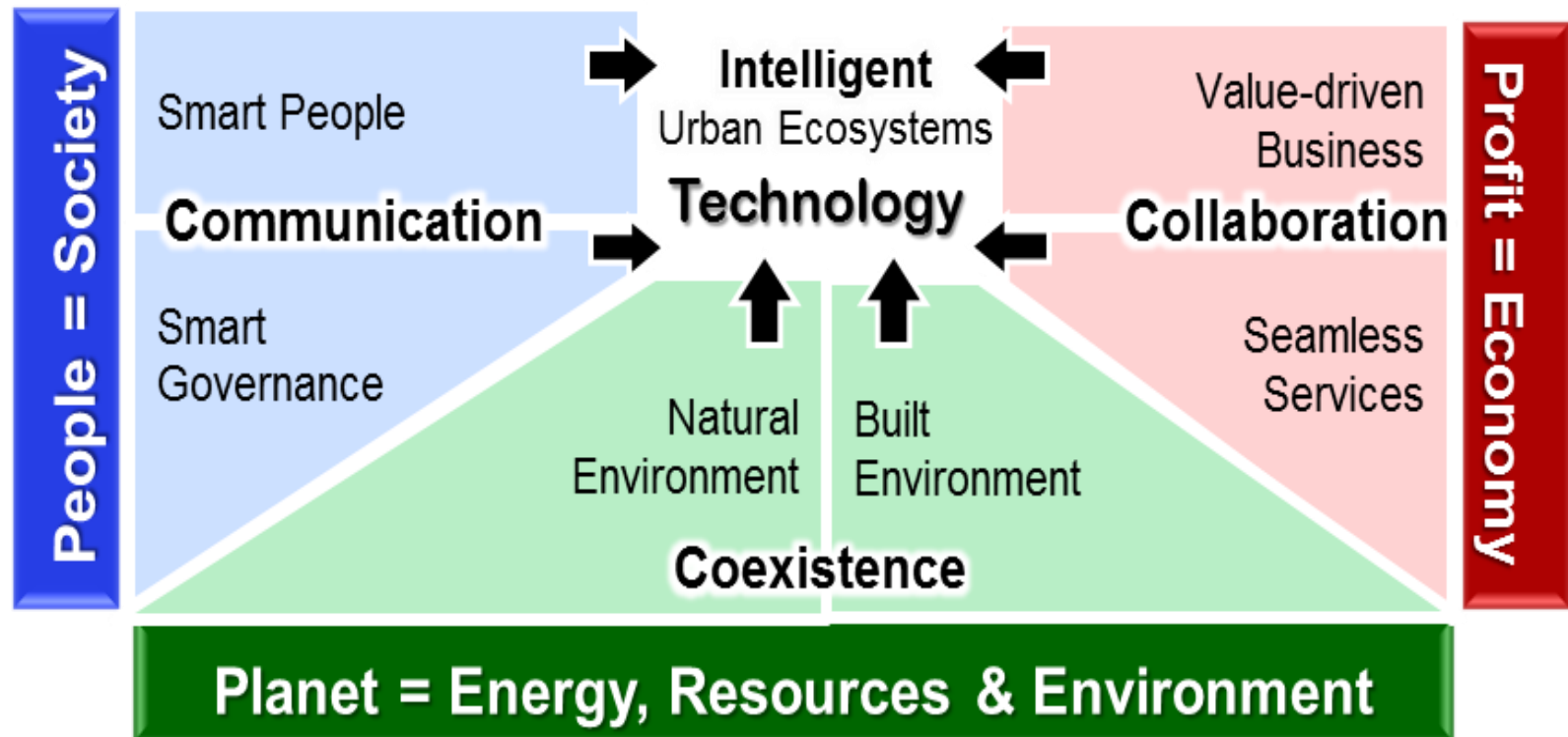
Performance measurement and data  
collection system

Recommendations for deployment  
and Smart City index

Direct impacts: Ability of cities to set holistic  
targets and assess progress



In-direct impacts: Use of renewables,  
increasing energy efficiency, better quality of  
life, new business opportunities, lower CO2



- Existing frameworks; Concerto, ITU etc.

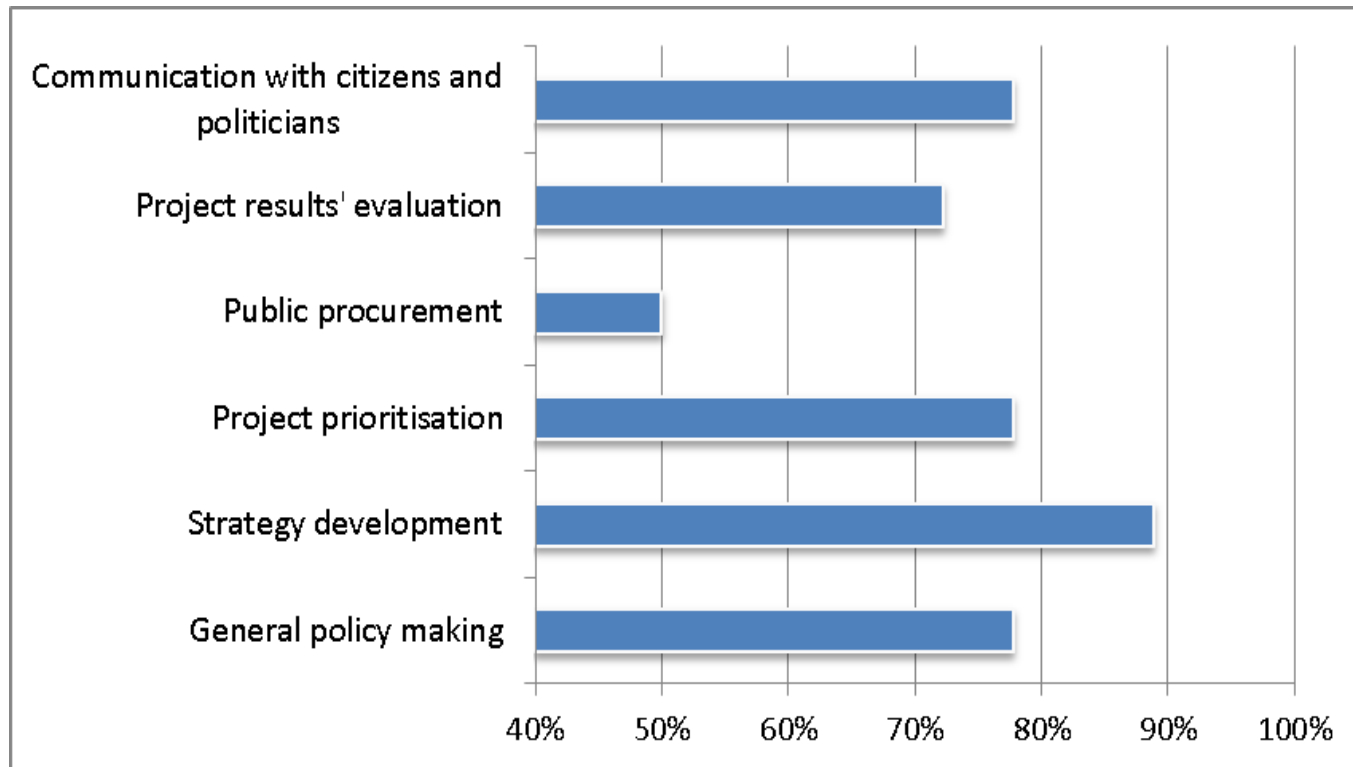
## Citykeys goal and strategy



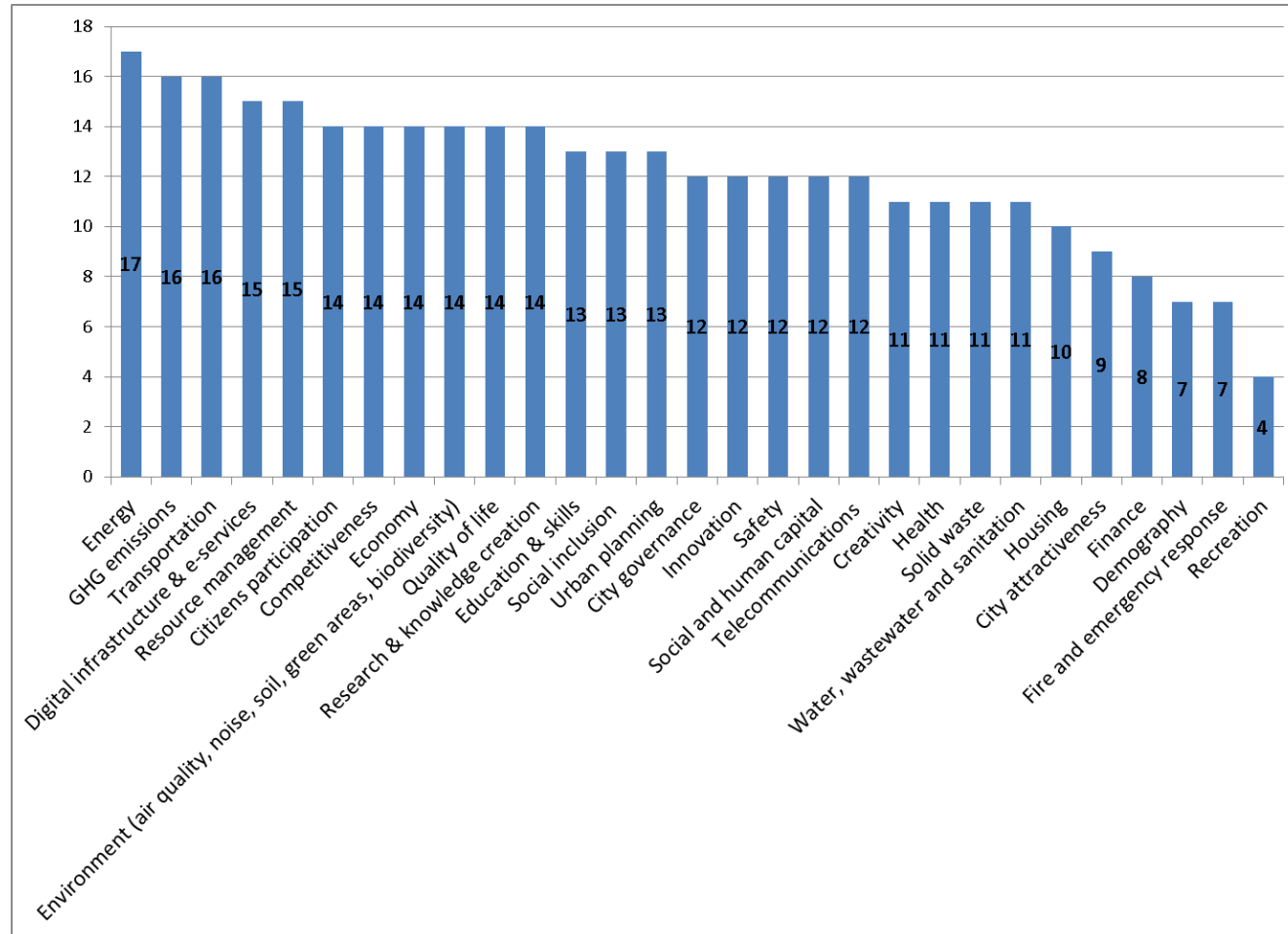
# Cities and citizens needs



## For what kind of decisions would you need performance measurement for?



# Cities need to measure performance





## Mapped existing frame works

- European frameworks
- International and European Standards
- Neighborhood certification schemes
- Relevant FP7 and H2020 projects
- Selected country frameworks
- Other international frameworks

# Mapping existing frameworks

## European Initiatives

- 1 - Concerto
- 2 - Smart City Information System (in development)
- 3 - Civitas
- 4 - Green Digital Charter
- 5 - RFSC Reference framework for sustainable cities
- 6 - Covenant of Mayors
- 7 - European Smart City Index

## International and European Standards

- 1 - ISO 37120 Sustainable Development of Communities
- 2 - ISO 37151
- 3 - ITU FG-SSC

## Neighborhood Certification Schemes

- 1 - DGNB / OEGNI for urban districts
- 2 - BREEAM Communities
- 3 - LEED v4 for Neighborhood Development
- 4 - CASBEE Cities
- 5 - CASBEE Urban Development
- 6 - 2000-Watt-Site

## Other relevant indicator frameworks

- 1 - ClimateCon
- 2 - Global City Indicators Facility
- 3 - OECD Green growth in cities
- 4 - UN Habitat City Prosperity index
- 5 - UNECE United Smart Cities
- 6 - CITY PROTOCOL

## Relevant FP7 and Horizon 2020 Projects

- 1 - CITINES (in development)
- 2 - CIVIS
- 3 - DESIRE
- 4 - ECODISTR-ICT
- 5 - URBANLAB
- 6 - IDEAS
- 7 - PLEEC
- 8 - READY
- 9 - TRANSFORM
- 10 - URB-GRADE
- 11 - URBES
- 12 - 2DECIDE

## Relevant National Initiatives

### Dutch

- 1 - SCP Rotterdam
- 2 - GPR-Stedenbouw
- 3 - IVAM - DPL (/Duurzaamheidsindex)
- 4 - Telos: European Green Capital Award

### Austrian

- 5 - Smart City Profiles

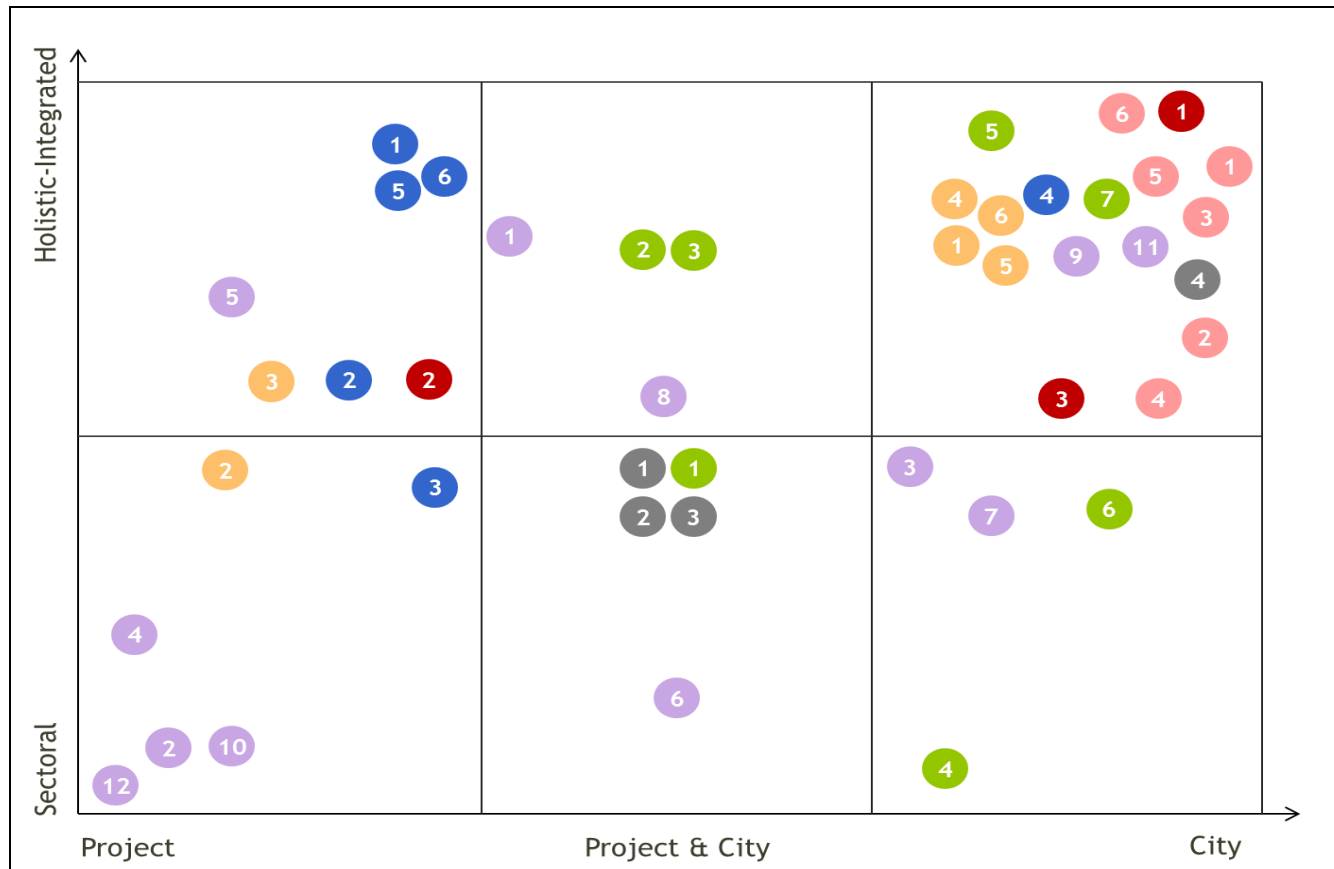
### Finnish

- 6 - Common indicators for sustainable development in 6 Finnish cities

## Other indicator systems mentioned in the proposal

- 1 - Siemens Green City Index
- 2 - Smart City Wheel
- 3 - Triple Helix

# Mapping existing frameworks



## Preliminary structure

- **People** (*e.g. safety, health, diversity, quality of built environment*)
- **Planet** (*environment, ecosystem, energy and other resources*)
- **Prosperity** (*employment, economic performance, Innovation*)
- **Process** (*multilevel governance, organisation, co-creation, engagement*)
- **Propagation** (*scalability, replicability*)

# Preliminary sub themes

## People - **Quality of life**

**Health** (eg heat stress; **noise**; air quality; sanitation, access to health services)

**Safety**

Access to services/resources/amenities/networks

**Culture** and leisure (later included in "access to services")

**Education & skills** (high level education; early classes of technology)

**Creativity** (later included in "education")

Diversity & **Social inclusion**

**Quality of housing and of the built environment**

## Planet - **Resource efficiency**

**Energy and mitigation** (performance, savings, efficiency, **renewable energy**, CO<sub>2</sub>/GHG emission / savings)

Climate resilience

Resources

**Water** (later included in "resources")

**Environment** (later rephrased as "Pollution and Waste")

Ecosystems

**Red** is (also) recognized as important and/or necessary by at least 50% of the **cities** participating in the survey

**Green** is (also) considered priority by **citizens**

# Preliminary structure

## Prosperity

Economic Performance / GDP

Equity

Employment

City attractiveness

Innovation

## Process

Policy & Organisation (later rephrased as "Organisation")

Community engagement

*Citizen participation* (later rephrased as "Co-creation")

Multilevel governance

## Propagation (only at project level)

Scalability

Replicability

*Red* is (also) recognized as important and/or necessary by at least 50% of the *cities* participating in the survey

*Green* is (also) considered priority by *citizens*

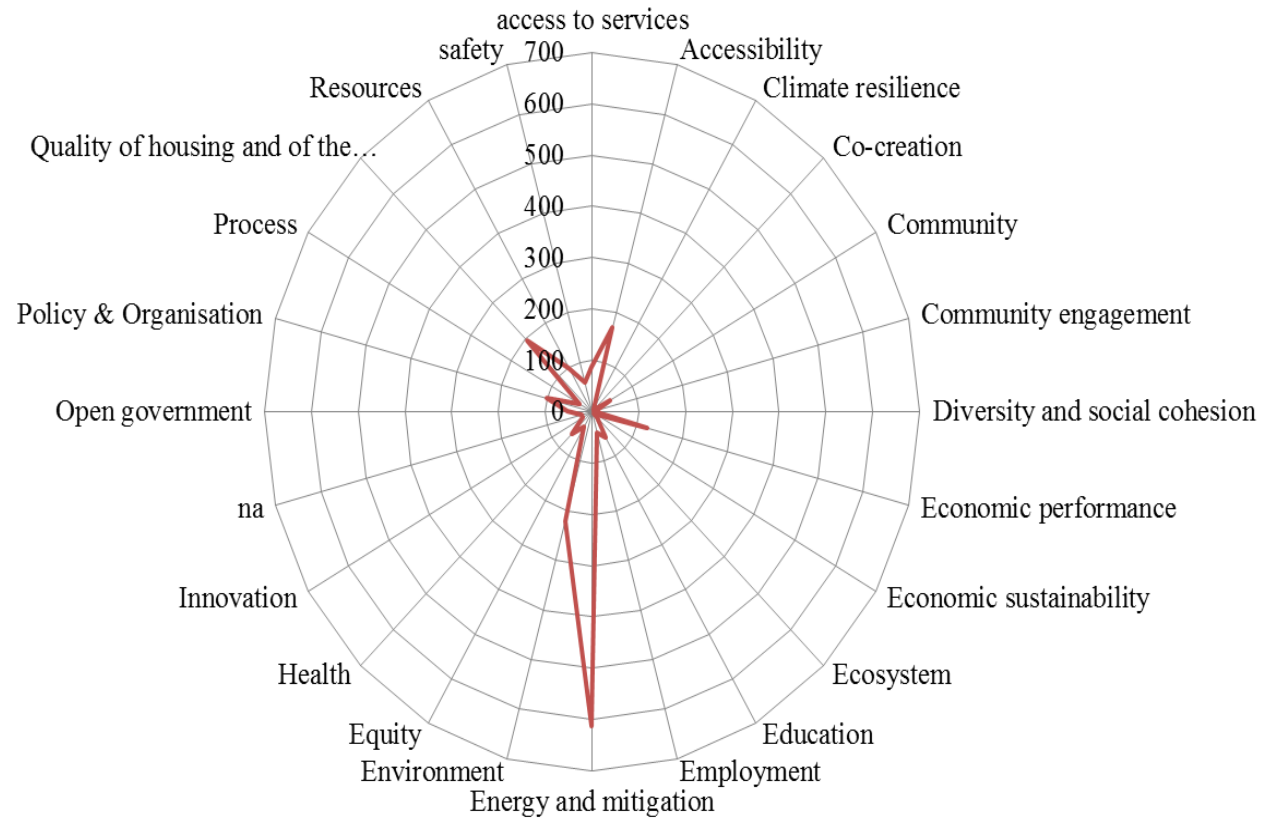
# Preliminary structure

People	Planet	Prosperity	Process	Propagation
<ul style="list-style-type: none"><li>• Education</li><li>• <u>Diversity &amp; social cohesion</u></li><li>• Safety</li><li>• Health</li><li>• Quality of housing and of the built environment</li><li>• <u>Access to (other) services</u></li></ul>	<ul style="list-style-type: none"><li>• <u>Energy &amp; mitigation</u></li><li>• Other resources</li><li>• Climate resilience</li><li>• Environment</li><li>• <u>Ecosystem</u></li></ul>	<ul style="list-style-type: none"><li>• Employment</li><li>• Equity</li><li>• Green economy</li><li>• Economic performance</li><li>• Accessibility</li><li>• Innovation</li></ul>	<ul style="list-style-type: none"><li>• <u>Multilevel governance</u></li><li>• <u>Organisation</u></li><li>• Co-creation</li><li>• Community engagement</li></ul>	<ul style="list-style-type: none"><li>• <u>Scalability</u></li><li>• Replicability</li></ul>

indicator\_name

Summe von nr\_of\_indicators

## Indicators by City Subthemes



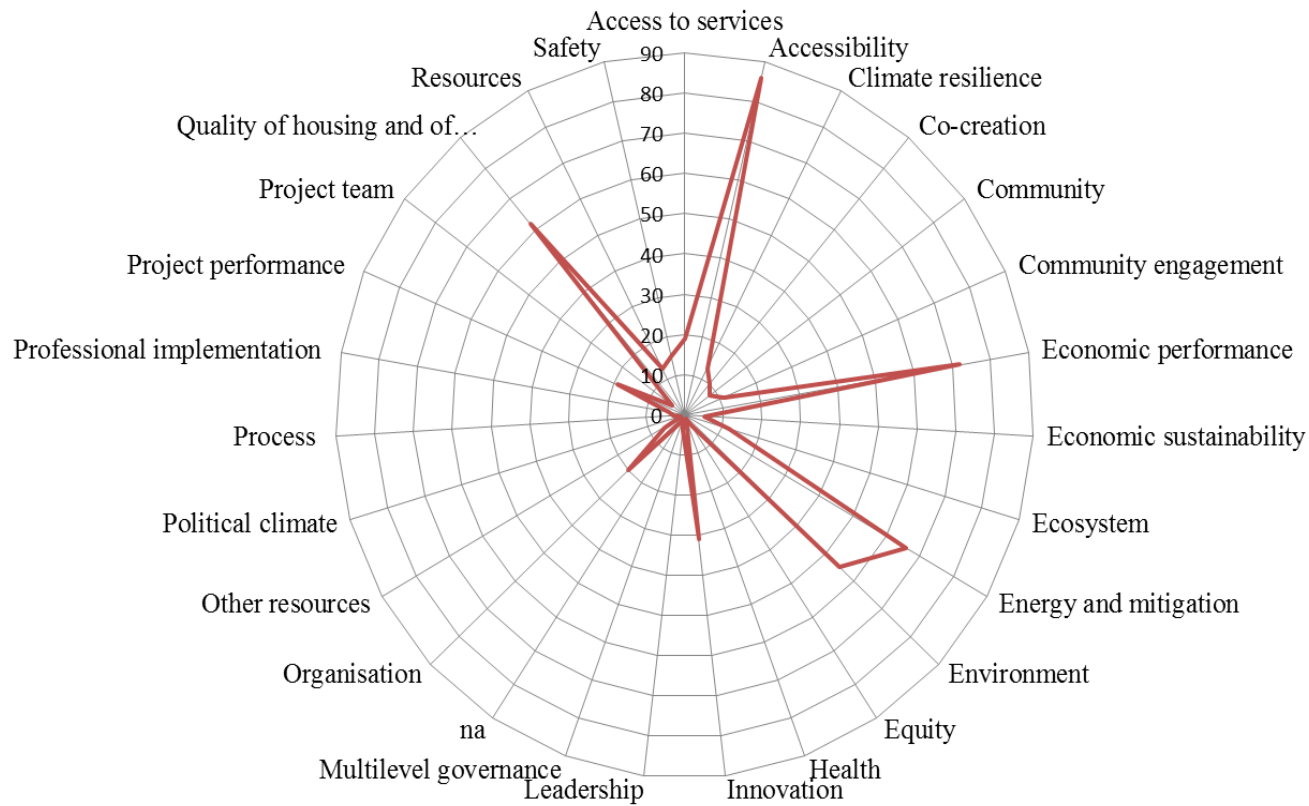
city\_subtheme



indicator\_name

Summe von nr\_of\_indicators

## Indicators by Project Subthemes



project\_subtheme

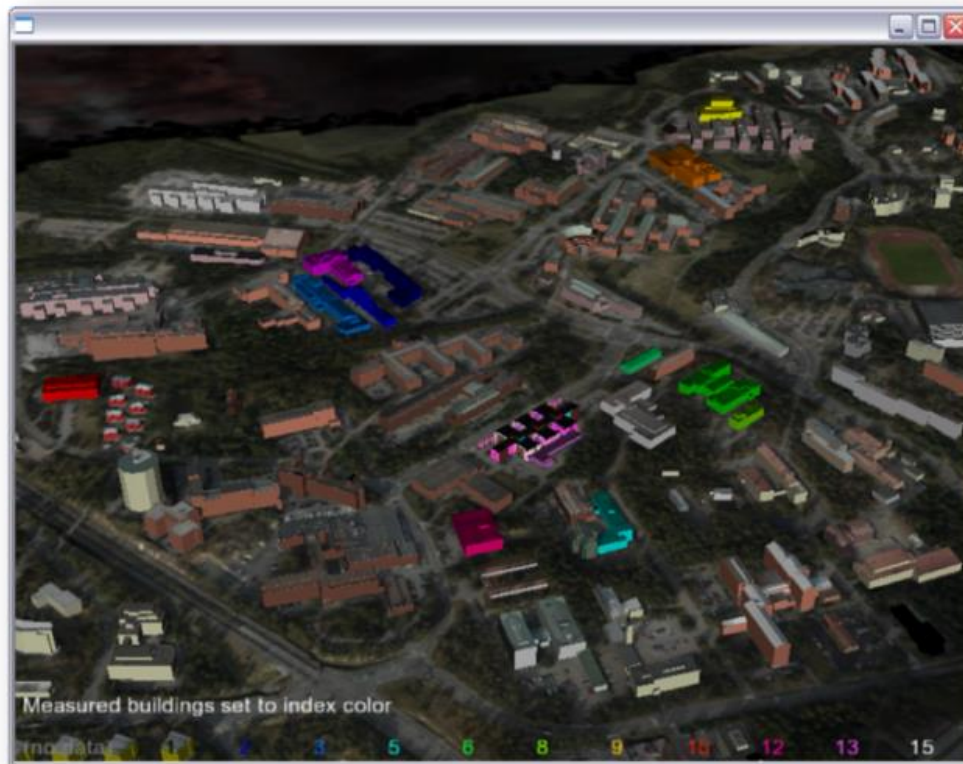
# Gap analyse

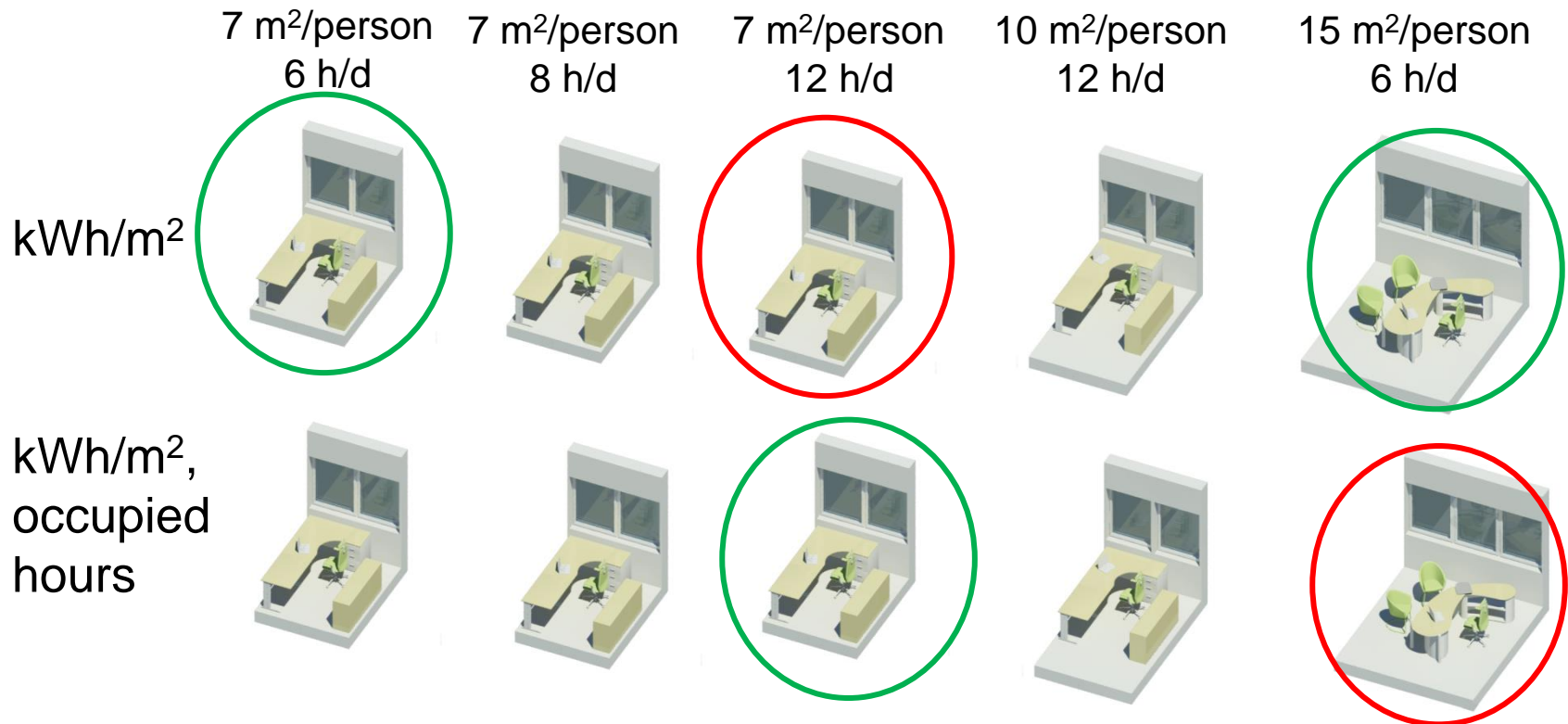
- There are in principle indicators available for each of the subthemes. However, there are significant variations in the coverage of the subthemes
- Best covered are People, Planet and Prosperity

People	Planet	Prosperity	Process	Propagation
<ul style="list-style-type: none"> <li>• Education</li> <li>• <u>Diversity &amp; social cohesion</u></li> <li>• Safety</li> <li>• Health</li> <li>• Quality of housing and of the built environment</li> <li>• <u>Access to (other) services</u></li> </ul>	<ul style="list-style-type: none"> <li>• <u>Energy &amp; mitigation</u></li> <li>• Other resources</li> <li>• Climate resilience</li> <li>• Environment</li> <li>• <u>Ecosystem</u></li> </ul>	<ul style="list-style-type: none"> <li>• Employment</li> <li>• Equity</li> <li>• Green economy</li> <li>• Economic performance</li> <li>• Accessibility</li> <li>• Innovation</li> </ul>	<ul style="list-style-type: none"> <li>• <u>Multilevel governance</u></li> <li>• <u>Organisation</u></li> <li>• Co-creation</li> <li>• Community engagement</li> </ul>	<ul style="list-style-type: none"> <li>• <u>Scalability</u></li> <li>• Replicability</li> </ul>

# KPIs

- What you measure is what you get





Sources: Airaksinen et al. 2014, Effects of building occupancy on indicators of energy efficiency

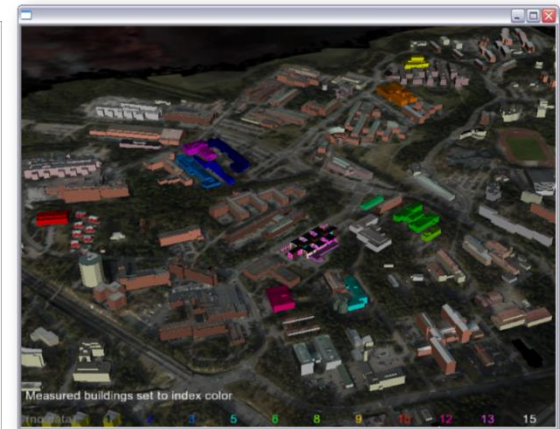
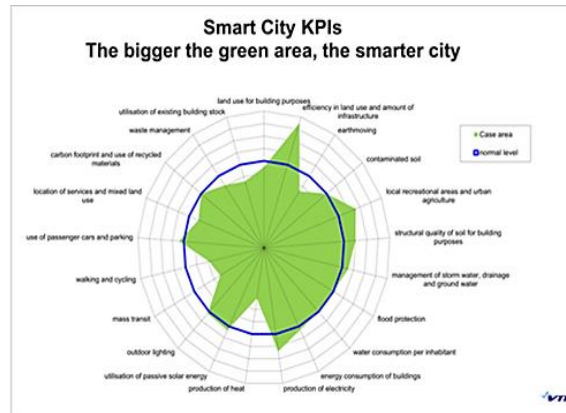
VTT Ingrid Innovation program. [http://www.vtt.fi/research/innovation\\_ingrid.jsp](http://www.vtt.fi/research/innovation_ingrid.jsp).

## Examples

- Access to services (e.g. xx m<sup>2</sup>), Likert scale
- Reduction in energy consumption, (e.g. compared to base line kWh/m<sup>2</sup>), percentage %
- What kind of indicators are useful and is the data available/easy to access?

# Performance monitoring

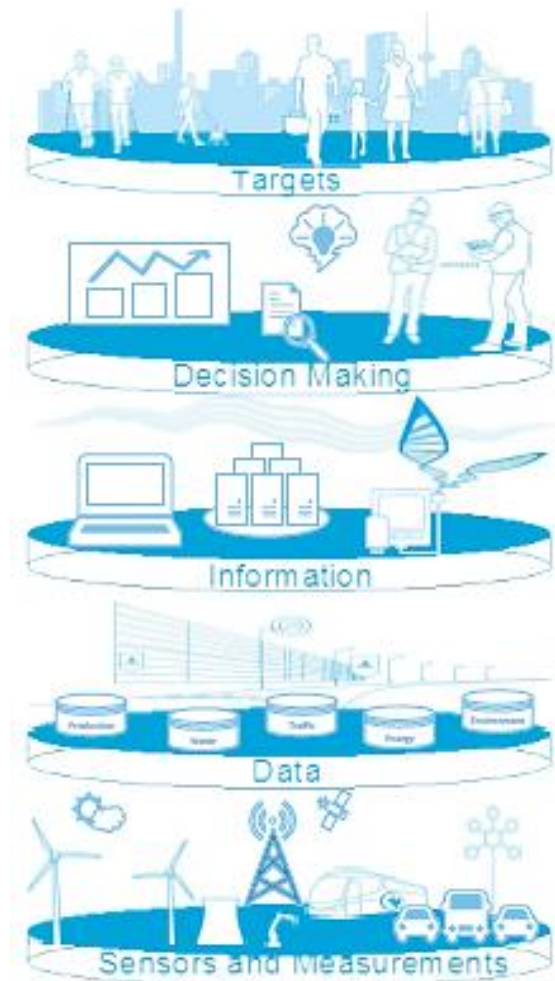
- Decision-support based on:
  - reliable real-time monitoring on smart city performance
  - holistic key performance indicators framework and a city index
- The implementation of a common performance measurement framework is based on a set of **relevant indicators**, **open data applications** and **decision-support user-interfaces**
  - enables stakeholders to learn from each other, create trust in solutions and monitor progress.



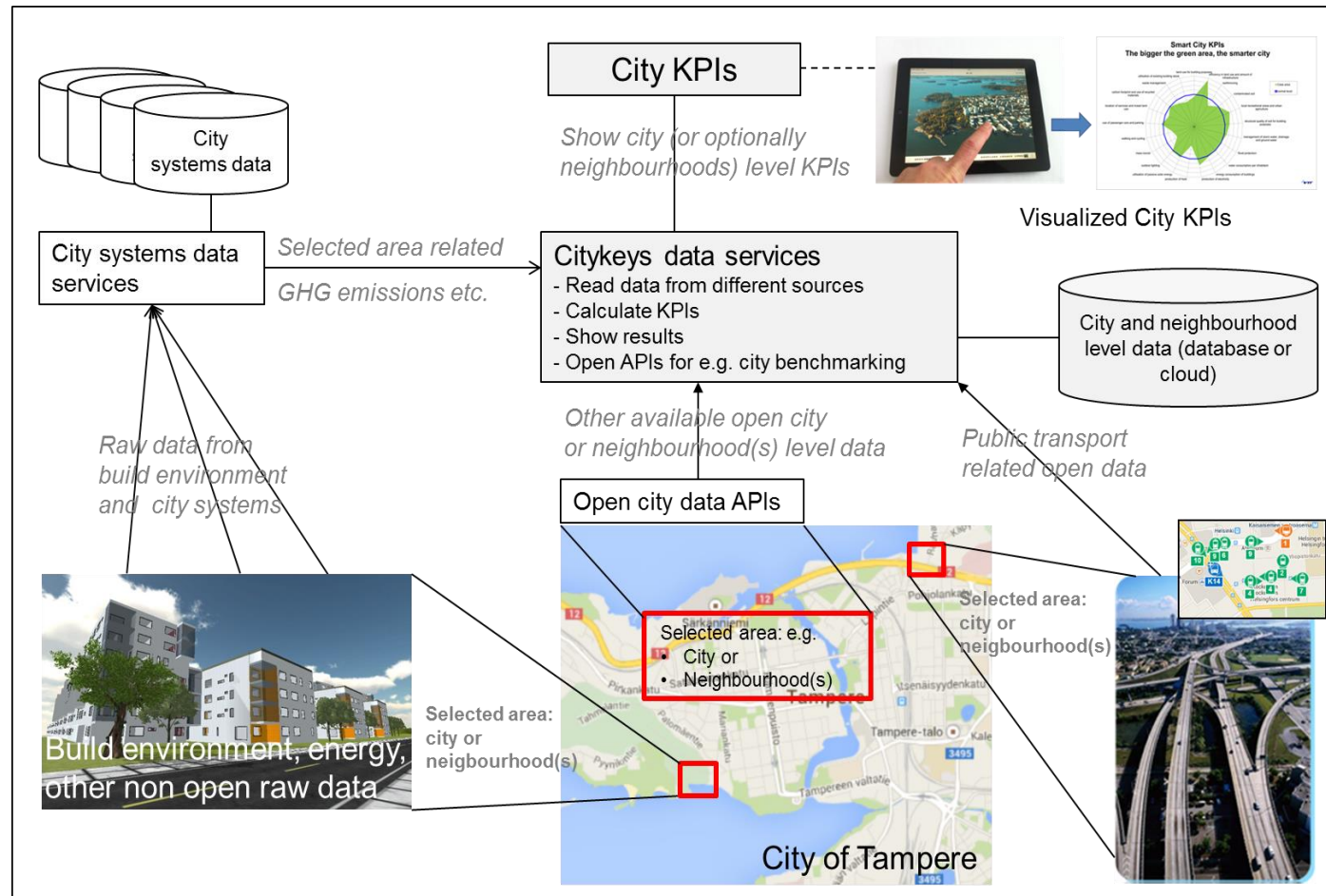


# City performance monitoring

- Performance analysis is an important tool in plan or project assessment, but also in assessing cities.
  - Help in setting goals and strategies for the future
- The goal function is to combine city level operation with co-operation between local different systems in order to monitor performance
  - Intelligence and interoperable interfaces are added between separate systems
- “Raw” information is collected with help of fused sensing, data monitoring technologies, and the Internet-of-things (IoT) from various sources
  - These are enriched to information through KPIs calculation and further developed to decision making services.



# City Monitoring system - System concept



Sources: VTT Pro-IoT spearhead program. [http://www.vtt.fi/research/spearhead\\_iot.jsp](http://www.vtt.fi/research/spearhead_iot.jsp).  
 VTT Ingrid Innovation program. [http://www.vtt.fi/research/innovation\\_ingrid.jsp](http://www.vtt.fi/research/innovation_ingrid.jsp).



# CITYKEYS in nutshell

- The project focuses on developing and validating, together with cities, performance indicators and data collection procedures that will be used for the common and transparent monitoring of Smart City projects (initiatives/actions) and solutions across European cities.
- The key results are:
  - Key performance indicators for smart city projects
  - Performance measurement framework, Data sets & data collection
  - Policy making recommendations
  - Business models & opportunities
  - Smart city index recommendations

- Thank you
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